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Structure—Property Relationship of Red- and Green-Emitting Iridium(III) Complexes with Respect to Their Temperature and Oxygen Sensitivity

Nan Tian, [a,b] Daniel Lenkeit, [a] Simon Pelz, [a] Lorenz H. Fischer, [c] Daniel Escudero, *[d] Ralf Schiewek, [e] Dennis Klink, [e] Oliver J. Schmitz, [e] Leticia González, [d] Michael Schäferling,*[c] and Elisabeth Holder*[a,b]

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We report on the structural design and characterization of a series of neutral heteroleptic iridium(III) complexes equipped with 2-phenylpyridine, 2-(naphthalen-1-yl)pyridine, and 1phenylisoguinoline as cyclometalating ligands. To gradually increase the unsymmetrical architecture of the heteroleptic iridium(III) complexes, they have been furnished with 2,2,6,6-tetramethylheptane-3,5-dione, 1-(9*H*-carbazol-9-vl)-5,5-dimethylhexane-2,4-dione, and 1-[3,6-bis(4-hexylphenyl)-9*H*-carbazol-9-yl]-5,5-dimethylhexane-2,4-dione as ancillary ligands. The photophysical and electrochemical properties of these asymmetric IrIII complexes have been investigated experimentally as well as theoretically by using density functional theory (DFT) calculations. The properties of these new neutral heteroleptic iridium(III) complexes have been experimentally compared to homoleptic Ir^{III} complex structures that reveal symmetrical architectures due to three similar cyclometalating ligands. The emission intensity of the herein described two classes of IrIII complexes is clearly influenced by applying changes to temperature and air pressure. The emphasis is on general design rules for oxygen-sensitive Ir^{III} emitters due to the correlation of the structure-dependent oxygen sensitivity to their phosphorescence lifetimes.

Introduction

Neutral iridium(III) complexes received much attention due to their outstanding optical properties, [1-6] which make them highly valuable in light-emitting diodes^[7] or sensor systems^[8] as well as in biomedical imaging.^[9] On account of the extraordinary possibility to intermix excited states, they usually reveal intensive and, due to spin-orbit coupling, spin-allowed phosphorescence in both solution and bulk.[1,4] The intermixed states, namely, singlet and triplet metal-to-ligand charge-transfer (MLCT) states as well as singlet and triplet ligand-centered (LC) states^[10] allow the variation of the phosphorescence emission[11,12] from

blue^[13] to near-infrared^[14] by the structural design of Ir^{III} complexes. Besides the color variation on demand, neutral cyclometalated Ir^{III} complexes are furnished with high photostability and quantum yields[3,4] as well as lifetimes of usually several microseconds.[10] The lowest triplet MLCT states^[15] have to be controlled to tailor the phosphorescence of iridium(III) complexes.[11,12] All phosphorescent light emission occurs from these states. A series of design rules have to be obeyed to obtain IrIII complexes that can be easily pumped and show efficient emission, microsecond lifetimes, high complex stability, and sensitivity to their environment:[11,12] (1) The lowest excited state must be of charge-transfer (CT) or of intraligand (IL) π – π * character to prevent photochemical instability. (2) Metal-centered (MC) d-d excited states must be above the emitting level; this helps to prevent thermal excitation that leads to efficient excited state decay and thus to photochemical instability. (3) Spin-orbit coupling should be high to enhance radiative decay (S_n and T_n), thereby competing with radiationless decay (T_1 and S_0). (4) Pure π - π * phosphorescence has a tendency to be long-lived, hence preventing efficient emission; thus, either spin-orbit coupling or mixing with CT states is required to effectively increase π – π * phosphorescence. (5) The emitting state should not be too low in energy, because low-lying emitting states enhance radiationless processes.

In addition to the above-mentioned precious optical properties and general design rules, [11,12] the phosphorescence of different neutral IrIII complexes is sensitive

Fax: +49-202-439-3880 E-mail: holder@uni-wuppertal.de

[b] Dutch Polymer Institute (DPI),

P. O. Box 513, 5600 AX Eindhoven, The Netherlands Institute of Analytical Chemistry, Chemo- and Biosensors, University of Regensburg, 93040 Regensburg, Germany Fax: +49-941-943-4064 E-mail: michael.schaeferling@chemie.uni-regensburg.de

[d] Institute of Physical Chemistry, Friedrich Schiller University Jena, Helmholtzweg 4, 07743 Jena, Germany Fax: +49-3641-948302 E-mail: daniel.escudero@uni-jena.de

[e] Analytical Chemistry, University of Wuppertal,

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[[]a] Functional Polymers Group and Institute of Polymer Technology, University of Wuppertal, Gaußstr. 20, 42097 Wuppertal, Germany

towards oxygen (and therefore to barometric pressure) and temperature. [8,16,17] Luminescent oxygen- and temperature-sensitive probes have found numerous applications in optical sensors, biomedical imaging, and pressure- or temperature-sensitive paints for fluid mechanics. Neutral iridium(III) complexes can be commonly divided into structures of homoleptic and heteroleptic architecture. [7,12] Generally, unsymmetrical structures (in the case of Ir^{III} emitters, heteroleptic architectures) induce high environmental sensitivity.

Temperature sensitivity can be achieved by having two states of different nature within an energy gap of kT of each other. Applying temperature will then change the population of the quasidegenerate states and thus influence the decay rates, emission intensities, and lifetimes. One option is the thermal activation of an MC state; conversely, care must be taken to not induce permanent decomposition. [11]

To achieve oxygen sensitivity based on phosphorescence quenching, one has to focus on structures with high quantum yields and long lifetimes.^[16,18] However, within a given class of complexes the bimolecular rate constants are insensitive to the specific structure.^[11] This rule also applies to pressure sensitivity, as shown in the present investigation.

In this study, we report on the synthesis and characterization of a series of heteroleptic green, orange-red, and red Ir^{III} complexes that were carefully designed by applying the above-mentioned rules. DFT and time-dependent DFT (TD-DFT) studies of the ground and excited states of selected Ir^{III} complexes have been performed to help interpret the experimental photophysical data. Moreover, the characteristics of these novel heteroleptic complexes have been compared to a selection of already known homoleptic Ir^{III} complexes based on cyclometalating C^N-type ligands of blue, green, and red emission color. It was attempted to reveal a general synthetic design concept to obtain iridium(III) complexes with a pronounced sensitivity to oxygen (and likewise air pressure) or temperature.

Results and Discussion

Synthesis and Characterization

To study the oxygen (in terms of barometric pressure) and temperature sensitivity of a selection of blue-, green-, and red-emitting homoleptic and heteroleptic iridium(III) complexes, a series of unsymmetrical heteroleptic phosphorescent green, orange-red, and red iridium(III) complexes were synthesized and characterized. For this purpose, a set of C^N and ancillary ligands were prepared.^[7] 1-Phenyliso-quinoline (1)^[7] (see Supporting Information) was obtained by an optimized and simplified Suzuki protocol^[19] in 92% yield by using tetrakis(triphenylphosphane)palladium(0) as cross-coupling catalyst.

The same (but intensively optimized) reaction pathway was used to couple (4-hexylphenyl)boronic acid (2) (see Figure S1) with 3,6-diiodo-9*H*-carbazole^[20,21] to yield novel 3,6-bis(4-hexylphenyl)-9*H*-carbazole (3) (see Figure S1) in

58% yield. Tetrakis(triphenylphosphane)palladium(0) was yet again utilized as cross-coupling catalyst (Figure S1 in the Supporting Information). Several attempts of using modified Suzuki C-C coupling protocols were carried out to obtain compound 3. However, reactions performed by using other cross-coupling functionalities like bromo functions, that were combined with a series of boronic acid esters, ended only in no or low conversion with unsatisfactory yields of 3. This finding goes hand-in-hand with rarely described reactions of the same type in the literature.^[22] Only a few examples can be found of coupling bare phenyl substituents onto carbazoles in their 3,6-position. [22,23] Ethyl 2-[3,6-bis(4-hexylphenyl)-9*H*-carbazol-9-yllacetate (4) (see Figure S1) was obtained in a yield of 62% by treating 3 with ethyl 2-bromoacetate under K₂CO₃ basic conditions. [8,24] Subsequently, 1-[3,6-bis(4-hexylphenyl)-9H-carbazol-9-yl]-5,5-dimethylhexane-2,4-dione (5) (see Figure S1) was obtained in a yield of 80% by treating 4 with 3,3-dimethyl-2-oxobutan-1-ide, which was obtained by using potassium hexamethyldisilazane (KHMDS), in a Claisen-type reaction. [8,24] The µ-chlorido-bridged dimers 6a-c (see Supporting Information) were synthesized in yields of 70-78% according to the well-known Nonoyama method, [25] but treating IrCl₃·nH₂O with 1 (1-phenylisoquinoline, piq), 2-(naphthalen-1-yl)pyridine (npy), and 2-phenylpyridine (ppy), respectively. Heteroleptic iridium(III) complexes 7a-c were prepared in yields of 66-71 % by combining 6a-c with commercially available 2,2,6,6-tetramethylheptane-3,5-dione (denoted as acac) according to a bridge-splitting method using K₂CO₃ as base (Figure 1). Iridium(III) complexes 8a-c (Figure 1) were obtained in yields of 61–67% according to the same reaction conditions but by treating 6a-c with 1-(9H-carbazol-9-yl)-5,5-dimethylhexane-2,4-dione (denoted as carbazole–acac). [8] Finally, the iridium(III) complexes 9a-c (yield: 65-72%) were obtained by adding 1-[3,6-bis(4-hexylphenyl)-9H-carbazol-9yl]-5,5-dimethylhexane-2,4-dione (denoted as phenyl-carbazole-acac) to 6a-c (Figure 1). The complexes in the series 7a-c to 9a-c were easily soluble in solvents of medium polarity.

¹H NMR spectra were obtained from solutions of the compounds in deuterated chloroform. The structures of 7ac to 9a-c were confirmed by applying 2D ¹H-¹H COSY NMR spectroscopic experiments. Atmospheric-pressure laser-ionization mass-spectrometry (APLI-MS) techniques were additionally utilized to identify the molecular weight and the purity of the heteroleptic Ir^{III} complexes 7a-c to 9a-c. For all heteroleptic complexes 7a-c to 9a-c the molecular peak could be detected according to the calculated values and, moreover, the molecular-peak pattern could be exactly simulated. In addition, correct elemental analyses proved the purity of compounds 7a-c to 9a-c, correspondingly. All of the heteroleptic IrIII complexes 7a-c to 9a-c were subjected to thermal gravimetric analysis (TGA) to verify that their thermal stability was higher than 280 °C at 5% weight loss, thus qualifying the novel compounds 7ac to 9a-c as probes with excellent temperature stabilities (Table 1).



Figure 1. Schematic representation of the structures of the analyzed heteroleptic complexes 7a-c to 9a-c and homoleptic Ir^{III} complexes 10–12.

10

12

Table 1. Data of absorption, emission, quantum yields, and decomposition temperature (T_d) for iridium(III) complexes.

Material	Absorbance [nm] $(\log \varepsilon [L \text{mol}^{-1} \text{cm}^{-1}])$	Maximum emission [nm]	Quantum yield ^[a] [%]	$T_{\rm d}^{\rm [b]} [^{\circ}{ m C}]$
7a	293 (4.98), 344 (3.73) 419 (3.28), 484 (3.20)	630	35	284.3
7b	240 (4.03), 300 (4.04) 458 (3.11), 497 (3.14)	600	37	281.2
7c	260 (5.29), 341 (3.62) 412 (3.25), 468 (3.14)	522	43	302.3
8a	247 (5.33), 291 (5.20) 341 (3.93), 478 (3.29)	624	31	356.0
8b	242 (3.99), 296 (3.87) 346 (3.54), 490 (2.83)	597	34	336.0
8c	240 (4.02), 262 (3.99) 345 (3.37), 462 (2.70)	521	37	337.3
9a	264 (3.97), 299 (3.98) 359 (3.40), 481 (2.79)	625	30	340.0
9b	262 (5.06), 296 (5.02) 356 (3.66), 490 (3.18)	595	32	315.6
9c	235 (3.86), 262 (5.08) 291 (3.98), 466 (2.49)	522	44	324.6

[a] Determined according to the method of Demas and Crosby.^[28] [b] At 5% weight loss.

Optical Properties

The experimental UV/Vis absorption and emission spectra of complexes **7a–c** to **9a–c** recorded in CHCl₃ are depicted in Figure 2. Table 1 collects their photophysical characteristics. To gain insight into the excited states that are responsible for the photophysical properties of these Ir^{III}

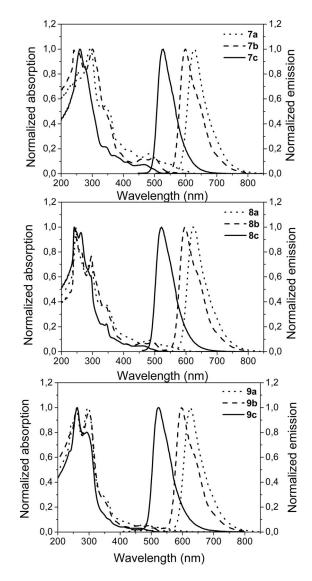


Figure 2. Absorption and emission properties of the iridium(III) complex series 7a-c to 9a-c (10⁻⁵ M in CHCl₃).

complexes, theoretical Δ -DFT and TD-DFT (B3LYP/6-31G*) calculations have been performed, by way of example, for complexes **7a,c** and **8a,c** (see Figure 1). Figures 3 and 4 show their corresponding Kohn–Sham orbitals and the energy-level schemes. In all the complexes the HOMO orbital is the $5d_{xy}$ orbital of the Ir^{III} center. The rest of the orbitals of the set t_{2g} ($5d_{xz}$ and $5d_{yz}$ orbitals) are found to occupy lower-lying energy levels. In the case of complexes **7a** (Figure 3) and **8c** (Figure 4), some π orbitals localized in the ancillary ligand (π_{anc}) are intercalated within the set t_{2g} of orbitals. Interestingly, for all the complexes the LUMO orbital is a π -antibonding orbital localized on the cyclometalating ligand (π_{cycl}^*).

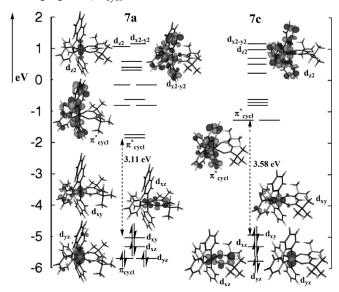


Figure 3. Energy-level scheme of complexes **7a**,**c**, including the most relevant Kohn–Sham orbitals and the HOMO–LUMO gaps calculated with B3LYP/6-31G*.

In the cyclometalating piq-based complexes **7a** and **8a**, the LUMO orbital is stabilized relative to the LUMO orbital of the ppy-based complexes **7c** and **8c**. This stabilization is due to the delocalization of this orbital in the piq cyclometalating ligand relative to the ppy ligand. Since the HOMO energies are very close within the piq/ppy series, larger HOMO–LUMO gaps are obtained for the ppy series (compare 3.58–3.61 eV to 3.11–3.09 eV, in Figures 3 and 4). The eg orbitals of the Ir^{III} center are, as seen in Figures 3 and 4, strongly destabilized for all the complexes. This is a

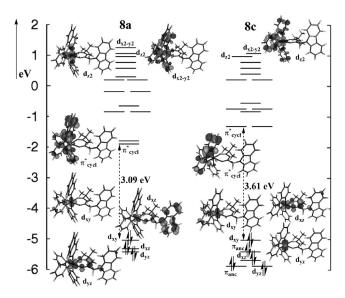


Figure 4. Energy-level scheme of complexes **8a**,**c**, including the most relevant Kohn–Sham orbitals and the HOMO–LUMO gaps calculated with B3LYP/6-31G*.

common feature for cyclometalated Ir^{III} complexes, since the strong ligand-field effect of the phenyl anion ($C^{-\wedge}N$) ligand increases the gap between the sets of t_{2g} and e_g orbitals.

In the following, we turn our attention to the interpretation of the UV/Vis data. The UV/Vis spectra of complexes 7a-c to 9a-c show some general features. The photophysical properties are more robust within the same cyclometalating ligand series rather than with the same ancillary ligand (compare the UV/Vis spectra of complexes with the same ancillary ligand, e.g., 7a vs. 7c with respect to complexes with the same cyclometalating ligand, e.g., 7c vs. 8c). In the complexes that bear the piq and npy cyclometalating ligands, more common features are obtained than with the ppy cyclometalating ligands. The UV/Vis spectra of all the complexes are dominated by some intense absorption bands located in the far-UV region (below 300 nm). In the piqand npy-based complexes, these bands are split into two main peaks at 300 and 250 nm. In the ppy-based compounds, a single band peaks at about 260 nm. Some shoulders of the aforementioned intense bands higher in energy (around 350 nm) can be seen in all complexes. Between 450 and 550 nm, low-lying weak absorption bands follow.

A comparison of the calculated and experimental absorption spectra for complexes **7a**,**c** and **8a**,**c** is presented in Figure 5. The associated most relevant electronic singlet–singlet vertical excitations, their oscillator strengths, and their correspondent assignments are summarized in Table 2. Among them, we find ¹MLCT states, IL excitations as well as ligand-to-ligand CT excitations (¹LLCT). The simulated convoluted UV/Vis spectra are shown in Figure 5 with dashed lines. As can be seen, the agreement between the calculated and experimental spectra is very good, notwith-standing that TD-DFT tends to underestimate CT states.^[26] The weak experimental absorption bands located between

450 and 550 nm are theoretically assigned as spin-allowed ¹MLCT transitions (see, for example, S₁, S₂, S₃, and S₆ in complex 7a in Table 2). These electronic excitations are redshifted for the piq-based complexes, as stated both theoretically and experimentally (see Figure 5). This fact can be explained through the above-mentioned stabilization of the cyclometalating-based antibonding orbitals of the piq-related compounds. As shown in Figure 5, no absorption is predicted beyond 550 nm in complexes 7a,c and beyond 480 nm for complexes 8a,c. The long tails of, for instance, 8a up to 600 nm, at which point singlet-singlet excitations are dark or do not exist, should then be attributed to ³MLCT excited states.^[10,24,27] The very intense bands that peak in the region of 300 nm for complexes 7a and 8a and approximately 260 nm for complexes 7c and 8c are of very different character. They correspond to high-energy ¹MLCT transitions in case of **7a**,**c** (see S₂₅ and S₃₅ for complexes 7a and 8a in Table 2), whereas in 7c and 8c they are due to mainly IL $\pi \rightarrow \pi^*$ transitions within the cyclometalating ligands (see S₃₆ and S₅₀ for complexes 7c and 8c, respectively). These ligand-based bands are also present but slightly blueshifted in the npy and pig series (peaking at around 250 nm; see Figure 2). As expected, these bands are also present in the UV/Vis spectra of the isolated cyclometalating ligands 2-phenylpyridine, 1, and 2-(naphthalen-1-yl)pyridine, respectively.^[10,24] The β-diketonato-based transitions also contribute to these bands at about 270 nm (see S₄₆ and S₄₂ for complexes 8a and 8c, respectively, in Table 2), which is analogous to the absorptions of the free β-diketone. The shoulders of the intense band located in the visible region at around 300-350 nm in complexes 7c and 8c and at approximately 350–400 nm in complexes 7a and **8a** are due to electronic transitions of a very diverse nature. Among them, ¹IL as well as ¹MLCT transitions dominate this region.

Next, we shall discuss the nature of the excited states involved in the emission process. The experimental phosphorescence spectra can also be found in Figure 2. Complexes 7a-9a present maximum emission wavelengths (λ_{max}) that are higher than 624 nm as intense red light with quantum yields of more than 30%. The complexes 7b-9b show orange-red phosphorescence ($\lambda_{max} \approx 595-600$ nm) with quantum yields higher than 32%. Typically, the series of green-emitting ($\lambda_{max} \approx 521-522$ nm) complexes 7c-9c reveal the uppermost quantum yield of 44% (see Table 1). Since the lowest triplet excited states involve a π^* orbital located on the cyclometaling ligand (vide infra), this ligand should play the most important role in the emission spectra, as experimentally confirmed within the series 7a-9a, 7b-9b and 7c-9c.

For Ir^{III} complexes it is well known that the lowest triplet excited state (T₁) is populated rapidly through an efficient intersystem crossing between the manifolds of singlets and triplets (due to strong spin–orbit coupling for the Ir^{III} atom). To reproduce the emission-spectral maxima of **7a**,**c** and **8a**,**c** theoretically, a two-step approach has been considered. First, the five lowest-lying triplet excitations at the Franck–Condon geometry have been obtained at the TD-

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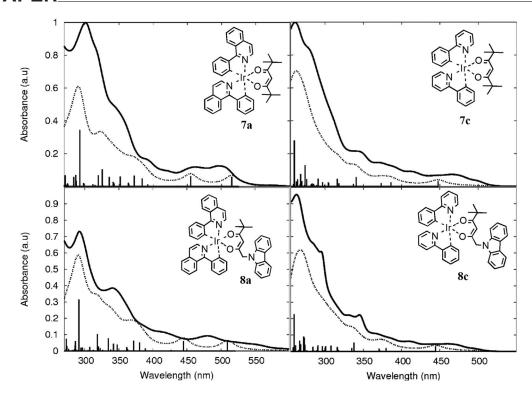


Figure 5. Experimental UV/Vis spectra (solid lines) of complexes **7a**,**c** and **8a**,**c** with TD-DFT (B3LYP/6-31G*) vertical excitations. The simulated UV/Vis spectra with a Lorentzian broadening of 25 nm of band width on half-height are presented with dashed lines.

Table 2. Main theoretical electronic-transition energies (ΔE), with corresponding oscillator strengths (f) and assignments of complexes **7a**,**c** and **8a**,**c**.

State	ΔE [nm]	f	Assignment	State	ΔE [nm]	f	Assignment
7a							7c
S_1	525	0.001	$5d_{xy} \rightarrow \pi^*_{cycl} (0.68) MLCT$	S_1	448	0.032	$5d_{xy} \rightarrow \pi^*_{\text{cycl}} (0.68) \text{ MLCT}$
S_2	515	0.059	$5d_{xy} \rightarrow \pi^*_{cycl} (0.68) MLCT$	S_3	386	0.025	$5d_{xz} \rightarrow \pi^*_{\text{cycl}} (0.68) \text{ MLCT}$
S_3	455	0.063	$5d_{xz} \rightarrow \pi^*_{\text{cycl}} (0.69) \text{ MLCT}$	S_8	341	0.056	$5d_{vz} \rightarrow \pi^*_{cycl} (0.66) MLCT$
S_6	384	0.049	$5d_{yz} \rightarrow \pi^*_{cycl} (0.61) MLCT$	S_{14}	316	0.047	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.48) \text{ IL}$
S_7	372	0.066	$\pi_{\text{cvcl}} \rightarrow \pi^*_{\text{cvcl}} (0.63) \text{ IL}$	S_{19}	291	0.048	$\pi_{\text{cvcl}} \rightarrow \pi^*_{\text{cvcl}} (0.51) \text{ IL}$
S_{12}	352	0.060	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.68) \text{ IL}$	S_{27}	276	0.045	$\pi_{\rm anc} \rightarrow \pi^*_{\rm cvel} (0.47) \text{ LLCT}$
S_{18}	326	0.105	$d_{xz} \rightarrow \pi^*_{\text{cycl}} (0.53) \text{ MLCT}$	S_{28}	274	0.130	$\pi_{\rm anc} \rightarrow \pi^*_{\rm cvcl} (0.48) LLCT$
S_{19}	320	0.068	$4d_{xz} \rightarrow \pi^*_{\text{cvcl}} (0.53) \text{ MLCT}$	S_{31}	268	0.076	$\pi_{\rm anc} \rightarrow \pi^*_{\rm cvcl} (0.38) \text{ LLCT}$
S_{25}	293	0.344	$5d_{xz} \rightarrow \pi^*_{cycl} (0.61) MLCT$	S_{34}	264	0.044	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.52) \text{ IL}$
S_{28}	287	0.070	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.54) \text{ IL}$	S_{36}	260	0.275	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.39) \text{ IL}$
8a						8c	
S_1	521	0.001	$5d_{xy} \rightarrow \pi^*_{\text{cycl}} (0.68) \text{ MLCT}$	S_1	444	0.030	$5d_{xy} \rightarrow \pi^*_{cycl} (0.68) \text{ MLCT}$
S_2	509	0.056	$5d_{xy} \rightarrow \pi^*_{\text{cvcl}} (0.68) \text{ MLCT}$	S_3	380	0.022	$5d_{xz} \rightarrow \pi^*_{\text{cvcl}} (0.57) \text{ MLCT}$
S_3	445	0.062	$5d_{yz} \rightarrow \pi^*_{cycl} (0.62) MLCT$	S_{10}	338	0.055	$5d_{vz} \rightarrow \pi^*_{cycl} (0.58) \text{ MLCT}$
S_8	381	0.053	$4d_{xz} \rightarrow \pi^*_{\text{cycl}} (0.59) \text{ MLCT}$	S_{22}	308	0.035	$\pi_{\rm anc} \rightarrow \pi^*_{\rm anc} (0.60) \text{ IL}$
S_9	372	0.062	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.57) \text{ IL}$	S_{28}	291	0.037	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.43) \text{ IL}$
S_{17}	342	0.043	$4d_{vz} \rightarrow \pi^*_{cvcl} (0.60) \text{ MLCT}$	S_{40}	274	0.042	$\pi_{\rm anc} \rightarrow \pi^*_{\rm cycl} (0.37) \text{ LLCT}$
S_{19}	334	0.077	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.57) \text{ IL}$	S_{42}	273	0.082	$\pi_{\rm anc} \rightarrow \pi^*_{\rm anc}$ (0.37) IL; $\pi_{\rm cycl} \rightarrow \pi^*_{\rm cycl}$ (-0.38) IL
S_{24}	319	0.105	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.42) \text{ IL}$	S ₄₄	272	0.090	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.31) \text{ IL}$
S_{35}	291	0.315	$4d_{xz} \rightarrow \pi^*_{\text{cycl}} (0.59) \text{ MLCT}$	S_{46}	267	0.065	$5d_{yz} \rightarrow \pi^*_{cycl} (0.32) \text{ MLCT}$
S ₄₆	274	0.075	$\pi_{\rm anc} \rightarrow \pi^*_{\rm anc} (0.54) \text{ IL}$	S ₅₀	260	0.227	$\pi_{\text{cycl}} \rightarrow \pi^*_{\text{cycl}} (0.31) \text{ IL}$

B3LYP/6-31G* level of theory (see Table 3). All of them are of 3MLCT character. The so-obtained S_0 – T_1 gaps are clearly blueshifted with respect to the experimental emission maxima. This is expected since the triplet states have not been allowed to relax. Therefore, as a second step, trip-

let-state optimizations were carried out to yield adiabatic emission energies (AEE; see Table 3). We note that relaxation along the triplet potential-energy surfaces leads to the same triplet excited state regardless of substitution of the ancillary or cyclometalating ligands. Thus, the T_1 minima



Table 3. Lowest singlet-triplet theoretical emission energies by means of TD-DFT and Δ-DFT approaches.

	7a	7c	8a	8c					
	TD-DFT vertica	al singlet–triplet excitations; ΔE [nm] and resulting 3MLCT assig	nment					
T_1	592	490	592	486					
T_2	585	478	581	480					
T_3	512	427	504	430					
T_4	506	416	501	414					
T_5	452	413	445	412					
		Theoretical $E_{\rm e-e}$; ΔE [nm] (exp	erimental E ₀₋₀ [nm])						
	615 (545)	500 (489)	610 (572)	499 (479)					
	Theoretical AEE ; ΔE [nm] (experimental emission maxima [nm])								
	701 (630)	550 (522)	698 (624)	548 (521)					

of all the complexes are of ³MLCT character, as reflected through the analysis of spin densities and in accordance to the TD-DFT data. They are all transitions going from a d orbital of the Ir^{III} atom to a π^*_{cvcl} orbital. As it can be seen in Table 3, the AEE values (obtained as the energy difference between T_1 and S_0 at the optimized T_1 geometry) are then in more reasonable agreement with the experimental emission maxima (errors amount to 0.2 eV, which is the accepted error inherent to the functional). The theoretical values of 701, 550, 698, and 548 nm, obtained for 7a, 7c, 8a, and 8c, respectively, correlate well with the experimental ones of 630, 522, 624, and 521 nm (Table 3). The electronic ZPE E_{e-e} energies (i.e., the energy difference between T_1 and S₀ minima on each potential-energy surface) also show a fair agreement with the E_{0-0} experimental values (estimated experimentally as the intersection point between the emission and absorption spectra).

Pressure and Temperature Sensitivity

The pressure (pO_2) and temperature dependency of the phosphorescence lifetimes of compounds 7a-c, 8b-c, 9b-c, and of three commercially available homoleptic iridium(III) complexes $\{\text{tris}[2-(\text{benzo}[b]\text{thiophen-}2-y]) \text{pyridinato-}C^3,N]$ iridium(III), [Ir(btpy)₃] (10); tris[2-(4,6-difluorophenyl)pyridinato- C^2 , N iridium(III), [Ir(Fppy)₃] (11); and tris(2phenylpyridinato- C^2 , N)iridium(III), [Ir(ppy)₃] (12)} representing red-, blue-, and green-emitting reference compounds, were characterized. The dyes were incorporated into standardized polystyrene films of 6 µm thickness. These were fabricated with a knife-coating device on a solid poly(ethylene terephthalate) (PET) support. The backside of the PET foil was coated with a highly reflective silicone/ TiO₂ screen layer. The luminescence lifetimes were obtained according to the rapid lifetime determination (RLD) method. [29,30] This ratiometric method provides intrinsic referenced signals and can be used to calculate the average luminescence lifetimes. For this purpose, the sensor films were photoexcited by means of a pulsed 405 nm LED with an internal frequency of 125 kHz and 5 µs pulse lengths. The luminescence intensity was integrated by a triggered CCD camera within two precisely timed gates $(A_1 \text{ and } A_2)$ of 1.4 μ s with delay times of 0 μ s (t_1) and 0.7 μ s (t_2) after the LED pulse, respectively. This was followed by the acquisition of the corresponding dark images for background subtraction. The whole imaging process has been described in previous work.^[31]

The luminescence lifetimes (τ) can be calculated according to Equation (1),^[32] when one assumes a monoexponential decay of luminescence emission, and with the two gates t_1 and t_2 being of the same width.

$$\tau = \frac{t_2 - t_1}{\ln \frac{A_1}{A_2}} \tag{1}$$

The intrinsic referencing reduces the signal noise. It provides a highly reproducible signal, which is unsusceptible to the common interferences in luminescence measurements. It has to be emphasized that luminescence decays of metalligand complexes are not monoexponential, and the lifetimes determined by this simple ratiometric method do not represent exact values as obtained with single-photon counting measurements. However, the values acquired at identical experimental settings reflect changes of luminescence lifetimes within a series of measurements. For comparison, Baranoff et al. reported a lifetime τ_0 of the triplet emission of [Ir(btpy)₃] at 596 nm of 4.0 µs in toluene at 298 K^[33] (see Table 4). Furthermore, the oxidation potential of the complexes was measured by means of cyclic voltammetry against ferrocene (Fc/Fc⁺) in dried tetrahydrofuran. All determined parameters are summarized in Tables 4 and

Table 4. Reversible oxidation potentials, phosphorescence emission maxima, and lifetimes of cyclometalated iridium(III) complexes and their pressure and temperature sensitivities.

	8b	8c	10	11	12
$E_{\rm ox}$ vs. Fc/Fc ⁺ [V]	0.27	0.28	0.25	0.64	0.31
Emission max. [nm]	590	521	596, (645)	470	512
$\tau \ [\mu s]^{[a]}$	3.4	1.3	6.6	1.0	1.2
T coeff. [\%(t)/\circ\C]	0.43	0.48	0.35	0.31	0.19
$K_{\rm sv} [10^{-4} {\rm mbar}^{-1}]^{[{\rm b}]}$	1.49	0.65	2.17	0.40	0.47

[a] At 50 mbar air pressure and 30 °C. [b] Stern-Volmer constant in 6 µm polystyrene (PS) film.

Table 5. Characterization of heteroleptic (phenylpyridine)-, (naphthylpyridine)-, and (phenylisoquinoline)iridium complexes with different ancillary ligands.

	7a	7b	7c	8 b	8c	9b	9c
$E_{\rm ox}$ vs. Fc/Fc ⁺ [V]	0.26	0.27	0.25	0.27	0.28	0.27	0.27
Emission max. [nm]	625	600	526	590	521	595	522
τ [μs] ^[a]	1.1	3.6	1.2	3.4	1.3	3.3	1.1
T coeff. [%(t)/°C]	0.21	0.40	0.37	0.43	0.48	0.40	0.43
$K_{\rm sv} [10^{-4} {\rm mbar}^{-1}]^{[{\rm b}]}$	0.61	1.33	0.732	1.49	0.65	1.26	0.63

[a] At 50 mbar air pressure and 30 °C. [b] In 6 µm PS film.

In this study, the pressure and temperature calibration of the iridium(III) phosphors was performed with square samples of 3×3 cm size of the dyed PS films. These were placed in a calibration chamber, in which the air pressure can be adjusted from 50 to 2000 mbar and the temperature from 1 to 60 °C. The assembly of the calibration chamber and of the imaging set up has been outlined in a recent publication.^[8] The results of the temperature calibrations are shown in Figure 6. The resulting lifetimes were normalized to the first value (1 °C at 1000 mbar) of the series of measurements to display the graphs in one plot. The results of the pressure calibrations are shown in Figure 7 in the form of Stern-Volmer plots. From the obtained data the Stern-Volmer (K_{SV}) constants and the temperature coefficients were calculated according to linear fits. The fits are very consistent in case of pressure dependency with correlation coefficients R^2 larger than 0.99. Although the temperature plots are apparently not linear, the calculated linear temperature coefficients are a suitable quantity for the temperature sensitivity.

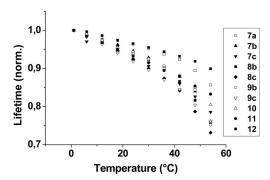


Figure 6. Temperature dependence of the normalized luminescence lifetimes of cyclometalated iridium(III) complexes 7-12 in 6 μm PS films at 1000 mbar.

Generally, in the cases of metal-ligand complexes, the emission maximum is determined by the energy gap between the excited triplet state and the ground state, which can be correlated with the energy difference of the frontier molecular orbitals (HOMO-LUMO gap). It is clear that increased HOMO-LUMO gaps result in emission maxima of higher energy. The oxidation potential, which depends on the energy level of the HOMO, decreases with lower energy gaps (see Table 4). Unfortunately, reduction potentials could not be measured with our setup. They occur at potentials lower than $-2.0 \text{ V.}^{[32]}$

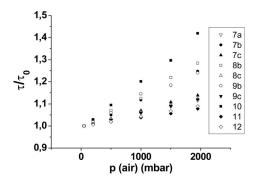


Figure 7. Air-pressure dependence of the luminescence lifetimes of cyclometalated iridium(III) complexes in $6 \,\mu m$ PS films at $T=30\,^{\circ}\text{C}$. All plots are referenced to the according lifetime values at 50 mbar air pressure and $1\,^{\circ}\text{C}$.

The influence of the ancillary ligand on the temperature and oxygen sensitivity is very small. Within a series of complexes that bear the same cyclometalating ligand (7b–9b or 7c–9c, respectively) K_{SV} and temperature coefficients show only negligible deviations. In contrast, the cyclometalating ligands have a high impact on the sensing properties, as expected from their very different photophysical properties.

Calibration of Pressure Sensitivity

The series of homoleptic and heteroleptic Ir^{III} complexes that emit from blue to red also showed an increase in oxygen (and pressure) sensitivity with increasing lifetimes of the excited triplet state. This can be expected as a consequence of the Stern–Volmer equation [Equation (2)], which describes the dependency of the luminescence lifetime from partial oxygen pressure:

$$\frac{I_0}{I} = \frac{\tau_0}{\tau} = 1 + K_{SV}[Q] \tag{2}$$

in which I_0 is the luminescence intensity and τ_0 the lifetime in absence of quencher (oxygen) under standard conditions. I and τ are intensity and lifetime in the presence of oxygen with concentration [Q]. The presence of oxygen quenches the luminescence lifetime and intensity likewise.

 $K_{\rm SV}$ is the Stern-Volmer constant defined in Equation (3), with $K_{\rm q}$ being the bimolecular dynamic quenching constant.

$$K_{\rm SV} = \tau_0 \cdot K_{\rm q} \tag{3}$$

The red-emitting series with the npy ligand (7b–9b) shows much higher oxygen sensitivity relative to the green-emitting series with the ppy cyclometalating ligand (7c–9c). With respect to Equation (3), this is due to the longer lifetimes of the complexes with the npy ligand. The highest oxygen-quenching efficiency is observed for [Ir(btpy)₃] (10) with a $K_{\rm SV}$ of 2.17 [10^{-4} mbar⁻¹] relative to air pressure. Stern–Volmer constants of several cyclometalated iridium(III) complexes have been reported, but they can be hardly compared, because they have been determined under different experimental conditions and with various polymer



matrices.^[16,34–39] Generally, with the exception of **10** and the npy complexes, the iridium(III) complexes are not very efficiently quenched by oxygen.

A general influence of the emission wavelength on the sensitivity to oxygen cannot be deduced as the deep redemitting complex 7a shows only slight oxygen sensitivity. It is apparent that the luminescence quenching by oxygen is a bimolecular process and is affected by many factors.

Another very important aspect that determines the response is the oxygen permeability of the matrix polymer. In this study, all complexes were calibrated in PS, which possesses an oxygen permeability P of $1.9 \times 10^{-13} \, \mathrm{cm}^3$ (STP) cm $(cm^2 s Pa)^{-1}$ {the permeability coefficient P is defined by: $P = [(\text{quantity of oxygen}) \times (\text{film thickness})]/[(\text{area}) \times (\text{time})]$ \times (pressure drop across film)]} (STP = standard temperature and pressure).[40] Because of this moderate permeability, PS is an appropriate polymer binder to compare the luminescence responses to temperature and oxygen of a series of dyes under standard conditions. If polymers with higher oxygen permeabilities are applied, the oxygen sensitivity and K_{SV} values increase, and also the differences between the single complexes are more pronounced. Figure 8 compares the response to oxygen of complex 10 in PS and ethyl cellulose (EC). The latter provides a very high oxygen permeability with $P = 11 \times 10^{-13} \text{ cm}^3 \text{ (STP) cm}$ $(cm^2 s Pa)^{-1}$. [40] This results in an increased K_{SV} of 40 [10⁻⁴ mbar⁻¹], which is a very high sensitivity compared to other luminescent probes used in optical oxygen sensors. A comparison of the performance of [Ir(btpy)₃] as indicator for oxygen (or barometric pressure) with established oxygen-sensitive probes can be found in previous work. [16]

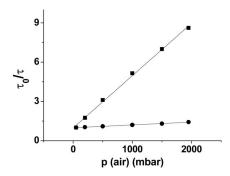


Figure 8. Stern–Volmer plots for 10 in PS (●) and EC 49 (■) (EC with 49% ethoxy grade) at 30 °C.

Calibration of Temperature Sensitivity

Nearly all luminescent dyes display a strong sensitivity towards temperature. The Boltzmann distribution is one factor for this phenomenon, because it governs the populations of the different vibrational levels of the electronic states involved. The matrix polymer also influences the temperature dependency of the luminescence intensity. Generally, thermal quenching can be observed. This implies decreasing photoluminescence intensities and lifetimes with increasing temperature. Besides the factors discussed above,

nonradiative relaxation mechanisms become dominant at higher temperatures, because deactivating states are thermally activated. For example, the decreasing energy difference between the electronic states can be converted more easily to vibrational energy in the complex ligand or in the polymer matrix. Furthermore, the energy of the excited electronic state can be transferred to appropriate electronic states of the environment. Finally, the oxygen permeability of the matrix polymer increases with temperature. This results in a higher concentration of quenching oxygen molecules in the polymer binder at increased temperatures. Among the studied iridium(III) complexes, the green-emitting series that bears ppy ligands (7c-9c) show the highest temperature sensitivity. The calibration plots for the temperature response are nonlinear and can be fitted by an Arrhenius-type equation [Equation (4)]:[11]

$$\frac{1}{\tau} = k_0 + k_1 \cdot \exp(-\frac{\Delta E}{RT}) \tag{4}$$

in which τ is the lifetime, k_0 the temperature-independent decay rate for the deactivation of the excited state, k_1 the pre-exponential factor, ΔE the energy gap between the emitting level and an upper deactivating excited state, R the gas constant, and T the temperature in Kelvin. Figure 9 shows exemplary the Arrhenius fit of the thermal quenching of 8c in PS at 1000 mbar air pressure with the fitting parameters: $k_0 = 0.76~\mu s^{-1}$, $k_1 = 19.5 \times 10^4~\mu s^{-1}$, and $\Delta E = 36.5~kJ~mol^{-1}$. The correlation coefficient R^2 for the fit is 0.994.

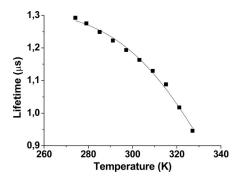


Figure 9. Temperature dependence of the luminescence lifetime of **8c** in PS at 1000 mbar and Arrhenius fit according to Equation (4) (straight line).

Generally, the temperature sensitivity of cyclometalated iridium(III) complexes is very low relative to other luminescent metal—ligand complexes. Hence, the temperature coefficients of typical luminescent indicators for T such as ruthenium and europium complexes^[29,41,42] are up to two times higher than those of the green-emitting iridium(III) complexes described here. The exceptional property of the green emitters, particularly of $\mathbf{8c}$, is their shortwave-emission wavelengths, which make them easy to combine with the numerously available red-emitting oxygen indicators for dual sensors. The high reproducibility and the very low standard deviations of the calibration plots and the broad dynamic range of the response are also advantageous.

Conclusion

The design of a full series of neutral heteroleptic iridium(III) complexes equipped with 2-phenylpyridine, 2-(naphthalen-1-yl)pyridine, and 1-phenylisoquinoline as cyclometalating ligands was presented. To obtain unsymmetrical iridium(III) complexes, 2,2,6,6-tetramethylheptane-3,5-dione, 1-(9*H*-carbazol-9-yl)-5,5-dimethylhexane-2,4-dione, and 1-[3,6-bis(4-hexylphenyl)-9*H*-carbazol-9-yl]-5,5-dimethylhexane-2,4-dione were utilized as ancillary ligands. These serve to gradually increase the unsymmetrical architecture of the IrIII complexes. The photophysical and electrochemical properties of the Ir^{III} complexes were investigated experimentally as well as theoretically by extensive Δ -DFT and TD-DFT calculations on a selected set of Ir^{III} structures. Moreover, the properties of the carefully designed heteroleptic iridium(III) complexes were compared to homoleptic Ir^{III} complex structures that reveal symmetrical architectures. These consist of three equal cyclometalating ligands. The emission intensities of the two classes of heteroleptic Ir^{III} complexes respond to changes of partial oxygen pressure and, to a lesser extent, to temperature changes. Notably, oxygen-sensitive Ir^{III} emitters can be targeted due to the clear correlation of superior oxygen sensitivities with enhanced phosphorescence lifetimes.

Supporting Information (see footnote on the first page of this article): Experimental section of materials, instrumentation, calibration of pressure and temperature sensitivity, computational details, synthetic procedures, scheme for the synthesis of 2–5 (Figure S1), APLI-MS spectra, and references.

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